

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application of:)

Applicant(s): Ryoichi Mukai)

Serial No.:)

Conf. No.:)

Filed: November 20, 2003)

For: MAGNETIC RECORDING MEDIUM)
AND FABRICATION METHOD)
THEREOF)

I hereby certify that this paper is being deposited with the
United States Postal Service as EXPRESS MAIL in an
envelope addressed to: Mail Stop PAENT APPLICATION,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450, on this date.

11-20-03

Date

Dail Lamm
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This IDS is submitted under 37 C.F.R. § 1.97(b) within either of the following time periods, whichever occurs last:

- (a) within three months of either the filing date of the national application or the date of entry into the national stage; or
- (b) before the mailing date of first office action on the merits (i.e., not including actions such as restriction requirements).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§ 1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

0941.68754

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Ry ichi Mukai

FILING DATE

N v. 20, 2003

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | PUBLICATION DATE | NAME | Pages, Columns, Lines Where Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|------|--|
| | 1A | | | | |
| | 1B | | | | |
| | 1C | | | | |
| | 1D | | | | |
| | 1E | | | | |
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| | 1G | | | | |
| | 1H | | | | |
| | 1I | | | | |
| | 1J | | | | |
| | 1K | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | Pages/Columns/Lines Where Relevant Passages/Figures Appear | Check if Translation attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
| | 1L | 11-154320 | June 8, 1998 | Japan | | Abs. |
| | 1M | 2000-268340 | Sep 29, 2000 | Japan | | Abs. |
| | 1N | 09-016935 | Jan 17, 1997 | Japan | | Abs. |
| | 1O | 2001-126239 | May 11, 2001 | Japan | | Abs. |
| | 1P | 09-259425 | Oct. 3, 1997 | Japan | | Abs. |

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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|--|----|--|
| | 1Q | |
| | 1R | |
| | 1S | |

EXAMINER

DATE CONSIDERED